

04/27/2001 11:46

310-777-8348

TROJAN LAW OFFICES

PAGE 02

PTO/SB/53 (02-01)

Approved for use through 01/31/2004. OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REISSUE APPLICATION: CONSENT OF ASSIGNEE; STATEMENT OF NON-ASSIGNMENT		Docket Number (Optional) 01-03-1627
This is part of the application for a reissue patent based on the original patent identified below.		
Name of Patentee(s) <u>CHANG, Ming Yu</u>		
Patent Number <u>5,897,307</u>	Date Patent Issued <u>4/27/1999</u>	
Title of Invention <u>Disposable Lighter Having a Safety Function of Preventing Unwanted Ignition</u>		
<p>1. <input checked="" type="checkbox"/> Filed herein is a statement under 37 CFR 3.73(b). (Form PTO/SB/96)</p> <p>2. <input type="checkbox"/> Ownership of the patent is in the inventor(s), and no assignment of the patent is in effect.</p> <p>One of boxes 1 or 2 above must be checked. If multiple assignees, complete this form for each assignee. If box 2 is checked, skip the next entry and go directly to "Name of Assignee".</p> <p>The written consent of all assignees and inventors owning an undivided interest in the original patent is included in this application for reissue.</p>		
The assignee(s) owning an undivided interest in said original patent is/are <u>CALICO BRANDS, INC.</u> , and the assignee(s) consents to the accompanying application for reissue.		
Name of assignee/inventor (If not assigned) <u>CALICO BRANDS, INC.</u>		
Signature <u>Laurie Hon</u>	Date <u>4-27-01</u>	
Typed or printed name and title of person signing for assignee (if assigned) Name: <u>LAURIE HON</u> Title: <u>Director, Calico Brands, Inc.</u>		

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

T04240"49544360

04/27/2001 11:46 310-777-8348

TROJAN LAW OFFICES

PAGE 03

Please type a plus sign (+) inside this box → ☐

PTO/SB/82 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REVOCATION OF POWER OF
ATTORNEY OR
AUTHORIZATION OF AGENT**

Application Number	08/881,848
Filing Date	6/24/97
First Named Inventor	Chang
Group Art Unit	3743
Examiner Name	Yeung, J.
Attorney Docket Number	01-03-1627

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

☒ A Power of Attorney or Authorization of Agent is submitted herewith.

OR

☐ Please change the correspondence address for the above-identified application to:☐ Customer NumberPlace Customer
Number Bar Code
Label here

OR

☐ Firm or
Individual Name

Address

Address

City

Country

State

ZIP

Telephone

Fax

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Name

LAURIE Hon

Signature

Laurie Hon

Date

4-27-01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

04/27/2001 11:45 310-777-8348

TROJAN LAW OFFICES

PAGE 04

Please type a plus sign (+) inside this box → ☐

PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**POWER OF ATTORNEY OR
AUTHORIZATION OF AGENT**

Application Number	08/881,848
Filing Date	6/24/97
First Named Inventor	Chang
Title	Disposable Lighter.
Group Art Unit	3743
Examiner Name	Yeung, J.
Attorney Docket Number	01-03-1627

I hereby appoint:

☒ Practitioners at Customer Number

23388

OR

☒ Practitioner(s) named below:

Name	Registration Number
R. Joseph Trojan	34,264
Lee Fredric Sharra	43,108
David Hong	45,704



as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer NumberPlace Customer
Number Bar Code
Label here☐ Firm or
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).**SIGNATURE of Applicant or Assignee of Record**

Name

LAURIE Hon

Signature

Laurie Hon

Date

4-27-01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

04/27/2001 11:45 310-777-8348

TROJAN LAW OFFICES

PAGE 05

PTO/SB/96 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: CHANG, Ming Yu / CALICO BRANDS, INC.Application No./Patent No.: 08/881,848 / No. 5,897,307 Filed/Issue Date: F/D 6/24/97 Issued: 4/27/99Entitled: Disposable Lighter Having a Safety Feature of Preventing Unwanted IgnitionCALICO BRANDS, INC., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 010216, Frame 0036, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

4-27-01

Date

LAURIE HON

Typed or printed name

Laurie Hon

Signature

Director Calico Brands, Inc.

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

TROJAN LAW OFFICES

ASSIGNMENT OF PATENT RIGHTS

WHEREAS, I, MING YU CHANG, residing at 2240 E. Washington Blvd., Los Angeles CA 90021, have invented certain a new and useful DISPOSABLE LIGHTER HAVING A SAFETY FEATURE OF PREVENTING UNWANTED IGNITION, United States Patent No. 5,897,307, filed June 24, 1997; and

WHEREAS, CALICO BRANDS, INC., a California corporation, having its principle place of business at 13455 Estelle, Home Gardens, CA 91719 is desirous of acquiring the entire right, title, and interest in and to said invention, said Patent and patents which may be granted and issued therefrom in the United States and throughout the world;

NOW THEREFORE, in consideration of the sum of *One Hundred Thousand* dollars (\$100,000.⁰⁰) and other good and valuable consideration, the receipt of which is hereby acknowledged, I, MING YU CHANG by these presents do hereby sell, assign, and transfer unto said CALICO BRANDS, INC. the entire right, title, and interest, for the territory of the United States of America, and through out the world, including any and all foreign rights and Convention priorities, in and to said invention, application, and Letters Patent; said invention, application, and Letters Patent to be held and enjoyed by said CALICO BRANDS, INC. for its use and behoof and for its successors and assigns to the full and of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me as if this assignment and sale had not been made;

090303 1255 9 710818 HONSON MKTING GROUP PAGE 01

I FURTHER AGREE to execute upon presentation any and all domestic and foreign applications describing and claiming said invention without further consideration, and in conjunction therewith to execute any and all further assignments or other documents that may be required for filing under the International Convention or for recording in patent offices throughout the world.

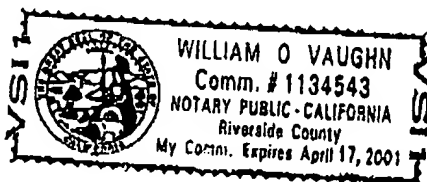
Dated this 03 day of September, 1999.

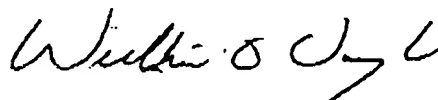

MING YU CHANG

State of California) SS:

County of Riverside)

Before me personally appeared said Ming Yu Chang
and acknowledged the foregoing instrument to be his free act and deed this 03 day of September, 1999.





030318 1255 0318

COPY

DECEMBER 29, 1999

TROJAN LAW OFFICES
R. JOSEPH TROJAN
9250 WILSHIRE BLVD., SUITE 325
BEVERLY HILLS, CA 90212



PTAS

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



101199937A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/07/1999

REEL/FRAME: 010216/0036
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHANG, MING YU

DOC DATE: 09/03/1999

ASSIGNEE:

CALICO BRANDS, INC.
13455 ESTELLE
HOME GARDENS, CALIFORNIA 91719

SERIAL NUMBER: 08881848
PATENT NUMBER: 5897307

FILING DATE: 06/24/1997
ISSUE DATE: 04/27/1999

ANNE HARRELL, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

RECORDATION FORM OF
PATENTS OF

12 22-1999

DEPARTMENT OF COMMERCE
Trademark OfficeTab settings ☐ ☐ ☐ ☐ ☐ ☐

To the Honorable Commissioner of Patents and Trademarks: Please re-

101199937

hereof.

1. Name of conveying party(ies):

Ming Yu Chang

mkd 9-7-99

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Calico Brands, Inc.

Internal Address:

Street Address: 13455 Estelle

City: Home Gardens State: CA ZIP: 91719

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 9/3/99

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

5,897,307

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Trojan Law Offices

Internal Address:

Street Address: 9250 Wilshire Blvd.

Suite 325

City: Beverly Hills State: CA ZIP: 90212

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

09/09/1999 MTHAI1 00000289 5897307

DO NOT USE THIS SPACE

9. Statement and signature.

40.00 DP

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

R. Joseph Trojan

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231